

General information about company

Scrip code	542759
NSE Symbol	SPANDANA
MSEI Symbol	NOTLISTED
ISIN	INE572J01011
Name of the company	Spandana Sphoorty Financial Limited
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2021
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

Declaration

Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter-Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	Yes	Yes	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	No			

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	3	40119099			40119099	62.29	40119099		40119099	62.29
(B)	Public	21943	24289089			24289089	37.71	24289089		24289089	37.71
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	21946	64408188			64408188	100	64408188		64408188	100

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				62.29	12835414	31.99			40119099
(B)	Public				37.71					24289089
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100	12835414	19.93			64408188

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	2	10815927			10815927	16.79	10815927		10815927	16.79
Sub-Total (A)(1)		2	10815927			10815927	16.79	10815927		10815927	16.79
(2)	Foreign										
(e)	Any Other (specify)	1	29303172			29303172	45.5	29303172		29303172	45.5
Sub-Total (A)(2)		1	29303172			29303172	45.5	29303172		29303172	45.5
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		3	40119099			40119099	62.29	40119099		40119099	62.29
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(a)	Mutual Funds	3	11012			11012	0.02	11012		11012	0.02
(c)	Alternate Investment Funds	3	2635901			2635901	4.09	2635901		2635901	4.09
(e)	Foreign Portfolio Investors	71	8003335			8003335	12.43	8003335		8003335	12.43

(f)	Financial Institutions/ Banks	2	283631			283631	0.44	283631		283631	0.44
Sub-Total (B)(1)		79	10933879			10933879	16.98	10933879		10933879	16.98
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	21177	2408916			2408916	3.74	2408916		2408916	3.74
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	8	326449			326449	0.51	326449		326449	0.51
(e)	Any Other (specify)	679	10619845			10619845	16.49	10619845		10619845	16.49
Sub-Total (B)(3)		21864	13355210			13355210	20.74	13355210		13355210	20.74
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		21943	24289089			24289089	37.71	24289089		24289089	37.71
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		21946	64408188			64408188	100	64408188		64408188	100
Total (A+B+C)		21946	64408188			64408188	100	64408188		64408188	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(a)				16.79	4323018	39.97			10815927
Sub-Total (A) (1)				16.79	4323018	39.97			10815927
(2)	Foreign								
(e)				45.5	8512396	29.05			29303172
Sub-Total (A) (2)				45.5	8512396	29.05			29303172
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)				62.29	12835414	31.99			40119099
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(a)				0.02					11012
(c)				4.09					2635901
(e)				12.43					8003335
(f)				0.44					283631

Sub-Total (B) (1)				16.98					10933879
(3)	Non-institutions								
(a(i))				3.74					2408916
(a(ii))				0.51					326449
(e)				16.49					10619845
Sub-Total (B) (3)				20.74					13355210
Total Public Shareholding (B)=(B)(1)+ (B)(2)+(B)(3)				37.71					24289089
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total (A+B+C2)				100	12835414	19.93			64408188
Total (A+B+C)				100	12835414	19.93			64408188

Individuals/Hindu undivided Family

Searial No.	1	2	
Name of the Shareholders (I)	PADMAJA GANGIREDDY	VIJAYA SIVARAMI REDDY VENDIDANDI	Click here to go back
PAN (II)	AEZPG1437L	ACEPV2541H	Total
No. of fully paid up equity shares held (IV)	10300953	514974	10815927
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	10300953	514974	10815927
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	15.99	0.8	16.79
Number of Voting Rights held in each class of securities (IX)			
Class eg:X	10300953	514974	10815927
Class eg:y			
Total	10300953	514974	10815927
Total as a % of Total Voting rights	15.99	0.8	16.79
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares			

Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	15.99	0.8	16.79
Number of Locked in shares (XII)			
No. (a)	4323018	0	4323018
As a % of total Shares held (b)	41.97	0	39.97
Number of Shares pledged or otherwise encumbered (XIII)			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	10300953	514974	10815927
Reason for not providing PAN			
Reason for not providing PAN			
Shareholder type	Promoter	Promoter Group	

Any Other (specify)

Serial No.	1	
Category	Bodies Corporate	
Name of the Shareholders (I)	KANGCHENJUNGA LIMITED	Click here to go back
PAN (II)	AAFCK1434L	Total
No. of the Shareholders (I)	1	1
No. of fully paid up equity shares held (IV)	29303172	29303172
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	29303172	29303172
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	45.5	45.5
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	29303172	29303172
Class eg:y		
Total	29303172	29303172
Total as a % of Total Voting rights	45.5	45.5
No. Of Shares Underlying		

Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	45.5	45.5
Number of Locked in shares (XII)		
No. (a)	8512396	8512396
As a % of total Shares held (b)	29.05	29.05
Number of Shares pledged or otherwise encumbered (XIII)		
No. (a)		
As a % of total Shares held (b)		0
Number of equity shares held in dematerialized form (XIV)	29303172	29303172
Reason for not providing PAN		
Reason for not providing PAN		
Shareholder type	Promoter	

Alternate Investment Funds

Serial No.	1	
Name of the Shareholders (I)	JM FINANCIAL INDIA TRUST II-JM FINANCIAL INDIA FUND	Click here to go back
PAN (II)	AACTJ5421A	Total
No. of fully paid up equity shares held (IV)	1612313	1612313
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1612313	1612313
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.5	2.5
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	1612313	1612313
Class eg:y		
Total	1612313	1612313
Total as a % of Total Voting rights	2.5	2.5
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares		

Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	2.5	2.5
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	1612313	1612313
Reason for not providing PAN		
Reason for not providing PAN		

Foreign Portfolio Investors

Searial No.	1	2	3	4	
Name of the Shareholders (I)	VALIANT MAURITIUS PARTNERS LIMITED	VALIANT MAURITIUS PARTNERS OFFSHORE LIMITED	VALIANT INDIA OPPORTUNITIES LTD	GOLDMAN SACHS FUNDS - GOLDMAN SACHS INDIA EQUITY P	Click here to go back
PAN (II)	AACCV8506B	AACCV8509Q	AAFCV2404D	AAGCG9887L	Total
No. of fully paid up equity shares held (IV)	775257	1767451	1261143	756395	4560246
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	775257	1767451	1261143	756395	4560246
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.2	2.74	1.96	1.17	7.08
Number of Voting Rights held in each class of securities (IX)					
Class eg: X	775257	1767451	1261143	756395	4560246
Class eg:y					
Total	775257	1767451	1261143	756395	4560246
Total as a % of Total Voting rights	1.2	2.74	1.96	1.17	7.08
No. Of Shares Underlying Outstanding					

convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.2	2.74	1.96	1.17	7.08
Number of Locked in shares (XII)					
No. (a)					
As a % of total Shares held (b)					
Number of equity shares held in dematerialized form (XIV)	775257	1767451	1261143	756395	4560246
Reason for not providing PAN					
Reason for not providing PAN					

Any Other (specify)

Searial No.	1	2	3	4	5	6	7
Category	Trusts	Trusts	Non-Resident Indian (NRI)	Clearing Members	Overseas Corporate Bodies	Overseas Corporate Bodies	Overseas Corporate Bodies
Category / More than 1 percentage	Category	More than 1 percentage of shareholding	Category	Category	Category	More than 1 percentage of shareholding	More than 1 percentage of shareholding
Name of the Shareholders (I)		EDELWEISS ALTERNATIVE INVESTMENT OPPORTUNITIES TRU				HELION VENTURE PARTNERS II, LLC	VALIANT MAURITIUS PARTNERS FDI LIMITED
PAN (II)		AAATE8456C				AACCH0331E	AACCV8508R
No. of the Shareholders (I)	2	1	367	32	3	1	1
No. of fully paid up equity shares held (IV)	1078288	804145	128387	34318	5108568	652304	3848823
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1078288	804145	128387	34318	5108568	652304	3848823
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.67	1.25	0.2	0.05	7.93	1.01	5.98
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	1078288	804145	128387	34318	5108568	652304	3848823

Class eg:y							
Total	1078288	804145	128387	34318	5108568	652304	3848823
Total as a % of Total Voting rights	1.67	1.25	0.2	0.05	7.93	1.01	5.98
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.67	1.25	0.2	0.05	7.93	1.01	5.98
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	1078288	804145	128387	34318	5108568	652304	3848823
Reason for not providing PAN							
Reason for not providing PAN							

Any Other (specify)

Searial No.	8	9	10	11	
Category	Others	Others	Others	Bodies Corporate	
Category / More than 1 percentage	Category	More than 1 percentage of shareholding	Category	Category	
Name of the Shareholders (I)	Qualified Institutional Buyer	ICICI PRUDENTIAL LIFE INSURANCE COMPANY LIMITED	NON RESIDENT INDIAN NON REPATRIABLE		Click here to go back
PAN (II)		AAACI7351P			Total
No. of the Shareholders (I)	1	1	121	153	679
No. of fully paid up equity shares held (IV)	3054560	3054560	44884	1170840	10619845
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	3054560	3054560	44884	1170840	10619845
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	4.74	4.74	0.07	1.82	16.48
Number of Voting Rights held in each class of securities (IX)					
Class eg: X	3054560	3054560	44884	1170840	10619845
Class eg:y					
Total	3054560	3054560	44884	1170840	10619845

Total as a % of Total Voting rights	4.74	4.74	0.07	1.82	16.48
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	4.74	4.74	0.07	1.82	16.48
Number of Locked in shares (XII)					
No. (a)					
As a % of total Shares held (b)					
Number of equity shares held in dematerialized form (XIV)	3054560	3054560	44884	1170840	10619845
Reason for not providing PAN					
Reason for not providing PAN					

Sr. No. Details of the SBO Details of the registered owner Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*: Date of creation / acquisition of significant beneficial interest Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Whether by virtue of: Shares Voting rights Rights on distributable dividend or any other distribution Exercise of control Exercise of significant influence