

ANNEXURE I

Format to be submitted by listed entity on quarterly basis

1. Name of Listed Entity - **Spandana Sphoorty Financial Limited**
2. Quarter ending - **June 30, 2021**

i. Composition Of Board Of Director

Title (Mr/Ms)	Name of the Director	DIN	Category (Chairperson /Executive/Non-Executive/Independent/Nominee)	Sub Category	Initial Date of Appointment	Date of Appointment	Date of cessation	Tenure*	Date of Birth	Whether special resolution passed?	Date of passing special resolution	No. of Directorship in listed entities including this listed entity	No of Independent Directorship in listed entities including this listed entity	No of memberships in Audit/Stakeholder Committee(s) including this listed entity	No of post of Chairperson in Audit/Stakeholder Committee held in listed entities including this listed entity
Mr.	Deepak Calian Vaidya	00337276	ID,C & NED		06-Jun-2018	30-Jul-2019		37	09-Jan-1945	Yes	30-Jul-2019	4	2	6	1
Mrs.	Padmaja Gangireddy	00004842	ED	MD	19-Apr-2003	19-Apr-2019			14-Jan-1967	NA		1	0	1	0
Mr.	Jagdish Capoor	00002516	ID		06-Jun-2018	11-Jul-2019		37	01-Jul-1939	Yes	11-Jul-2019	3	3	2	1
Mr.	Bharat Dhirajlal Shah	00136969	ID		13-Apr-2018	13-Apr-2018		39	18-Feb-1947	NA		5	5	6	1
Mrs.	Abanti Mitra	02305893	ID		04-May-2017	04-May-2017		51	08-Jul-1978	NA		1	1	0	2
Mr.	Ramachandra Kasargod Kamath	01715073	NED,ND		04-May-2017	04-May-2017			19-Nov-1955	NA		3	0	3	0
Mr.	Amit Sobti	07795874	NED,ND		29-May-2017	30-Sep-2020			04-Mar-1979	NA		1	0	0	0
Mr.	Kartikeya	076417	NED,ND		31-Mar-2017	31-Mar-2017			22-	NA		2	0	5	0

	Dhruv Kaji	23							Mar - 1983						
Mr.	Sunish Sharma	00274432	NED,ND		31-Mar-2017	30-Sep-2020		37	25-Oct-1974	NA		1	0	0	0

* to be given for Independent Directors only

Company Remarks	
Whether Permanent chairperson appointed	Yes
Whether Chairperson is related to MD or CEO	No

ii. Composition of Committees

a. Audit Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	Abanti Mitra	ID	Chairperson	14-Jun-2018	-
2	Bharat Dhirajlal Shah	ID	Member	15-May-2018	-
3	Deepak Calian Vaidya	ID,C & NED	Member	14-Nov-2018	-
4	Jagdish Capoor	ID	Member	14-Nov-2018	-
5	Kartikeya Dhruv Kaji	NED, ND	Member	14-Nov-2018	-

Company Remarks	
Whether Permanent chairperson appointed	Yes

b. Stakeholders Relationship Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	Abanti Mitra	ID	Chairperson	15-May-2018	-
2	Jagdish Capoor	ID	Member	14-Nov-2018	-
3	Deepak Calian Vaidya	ID,C & NED	Member	14-Nov-2018	-
4	Ramachandra Kasargod Kamath	NED, ND	Member	14-Nov-2018	-
5	Kartikeya Dhruv Kaji	NED, ND	Member	15-May-2018	-

Company Remarks	
Whether Permanent chairperson appointed	Yes

c. Risk Management Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	Ramachandra Kasargod Kamath	NED,ND	Chairperson	15-May-2018	-
2	Bharat Dhirajlal Shah	ID	Member	15-May-2018	-
3	Jagdish Capoor	ID	Member	14-Nov-2018	-
4	Kartikeya Dhruv Kaji	NED,ND	Member	15-May-2018	-

Company Remarks	
Whether Permanent chairperson appointed	Yes

d. Nomination and Remuneration Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	Bharat Dhirajlal Shah	ID	Chairperson	15-May-2018	-
2	Abanti Mitra	ID	Member	15-Jun-2017	-
3	Kartikeya Dhruv Kaji	NED, ND	Member	15-Jun-2017	-

Company Remarks	
Whether Permanent chairperson appointed	Yes

iii. Meeting of Board of Directors

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met	Number of Directors present	Number of Independent Directors present
09-Feb-2021	22-May-2021	Yes	9	4
11-Mar-2021	-	Yes	9	4
31-Mar-2021	-	Yes	8	4

Company Remarks	
Maximum gap between any two consecutive (in number of days)	87

iv. Meeting of Committees

Name of the Committee	Date(s) of meeting during of the committee in the previous quarter	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (Yes/No)	Number of Directors present*	Number of independent directors present
Audit Committee	09-Feb-2021	-	Yes	5	5
Audit Committee	11-Mar-2021	-	Yes	5	4
Audit Committee	31-Mar-2021	-	Yes	5	4
Audit Committee	-	22-May-2021	Yes	5	4
Nomination & Remuneration Committee	08-Feb-2021	-	Yes	3	2
Nomination & Remuneration Committee	-	21-May-2021	Yes	3	2
Stakeholders Relationship Committee	08-Feb-2021	-	Yes	5	3
Stakeholders Relationship Committee	-	21-May-2021	Yes	4	2
Risk Management Committee	08-Feb-2021	-	Yes	4	2
Risk Management Committee	-	21-May-2021	Yes	4	2

Company Remarks	
Maximum gap between any two consecutive (in number of days) [Only for Audit Committee]	51

v. Related Party Transactions

Subject	Compliance status (Yes/No/NA)	Remark
Whether prior approval of audit committee obtained	Yes	
Whether shareholder approval obtained for material RPT	Not Applicable	

Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	
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VI. Affirmations

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
2. The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015
 - a. Audit Committee - **Yes**
 - b. Nomination & remuneration committee - **Yes**
 - c. Stakeholders relationship committee - **Yes**
 - d. Risk management committee (applicable to the top 100 listed entities) - **Yes**
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.- **Yes**
5. a. This report and/or the report submitted in the previous quarter has been placed before Board of Directors.- **Yes**

Name : **Ramesh Periasamy**
Designation : **Company Secretary and Compliance Officer**