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### General information about company

Scrip code	542759
NSE Symbol	SPANDANA
MSEI Symbol	NOTLISTED
ISIN	INE572J01011
Name of the entity	Spandana Sphoorty Financial Limited
Date of start of financial year	01-04-2021
Date of end of financial year	31-03-2022
Reporting Quarter	Yearly
Date of Report	31-03-2022
Risk management committee	Applicable
Market Capitalisation as per immediate previous Financial Year	Top 1000 listed entities

Enter the quarter  
ended date only

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Annexure I

Annexure I to be submitted by listed entity on quarterly basis

I. Composition of Board of Directors

Disclosure of notes on composition of board of directors explanatory																				
																Add Notes				
Whether the listed entity has a Regular Chairperson																				
Yes																				
Whether Chairperson is related to MD or CEO																				
No																				
Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether special resolution passed? [Refer Reg. 171A] of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of past of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
Add		Delete																		
1	Mrs	Abanti Mitra	AKEPM7995C	02305893	Non-Executive - Independent Director	Chairperson		08-07-1978	NA		04-05-2017	04-05-2017		59	2	2	5		5	
2	Mr	Deepak Callian Vaidya	AADPV1542M	00337276	Non-Executive - Independent Director	Not Applicable		09-01-1945	Yes	30-07-2019	06-06-2018	30-07-2019		46	3	2	6		1	
3	Mr	Jagdish Kapoor	AACK3294L	00002516	Non-Executive - Independent Director	Not Applicable		01-07-1939	Yes	11-07-2019	06-06-2018	11-07-2019		46	2	2	4		2	
4	Mr	Bharat Dhirajlal Shah	AADPS434K	00119969	Non-Executive - Independent Director	Not Applicable		18-02-1947	Yes	28-09-2021	13-04-2018	28-09-2021		48	4	4	5		1	
5	Mrs	Padmaja Gangreddy	AEPG1437L	00004842	Non-Executive - Non Independent Director	Not Applicable		14-01-1967	NA		19-04-2003	19-04-2019			1	0	1		0	
6	Mr	Ramachandra Kakragod Kamath	AESP4252N	02715073	Non-Executive - Nominee Director	Not Applicable		10-11-1955	NA		04-05-2017	28-09-2021			3	0	3		0	
7	Mr	Sunish Sharma	ATEPS873M	00274432	Non-Executive - Nominee Director	Not Applicable		25-10-1974	NA		11-03-2017	30-09-2020			2	0	0		0	
8	Mr	Kamthaya Dhruv Kaji	BOTPH064G	07641723	Non-Executive - Nominee Director	Not Applicable		22-03-1983	NA		11-03-2017	28-09-2021			2	0	5		0	
9	Mr	Amrit Sobti	AAAMP5164J	07795874	Non-Executive - Nominee Director	Not Applicable		04-03-1979	NA		29-05-2017	30-09-2020			1	0	0		0	
10	Mr	Shaiabh Savana	AGDPS4130N	08908237	Executive Director	Not Applicable	CEO-MD	26-05-1971	NA		19-03-2022	19-03-2022			1	0	0		0	

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## Annexure 1

## II. Composition of Committees

Disclosure of notes on composition of committees explanatory

[Add Notes](#)

For this quarter kindly note the following points:

1. Date of Appointment and Date of Cessation (if applicable) must be mandatorily filled for every Committee.
2. Date of Appointment can be any day upto September 30, 2021.
3. Date of Cessation must be for the current quarter only, i.e. July 1, 2021 to September 30 2021

**Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be prefilled automatically**

Audit Committee Details							
Whether the Audit Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	02305893	Abanti Mitra	Non-Executive - Independent Director	Chairperson	14-06-2018		
2	00136969	Bharat Dhirajal Shah	Non-Executive - Independent Director	Member	15-05-2018		
3	00337276	Deepak Calian Vaidya	Non-Executive - Independent Director	Member	14-11-2018		
4	00002516	Jagdish Capoor	Non-Executive - Independent Director	Member	14-11-2018		
5	07641723	Kartikeya Dhruv Kaji	Non-Executive - Nominee Director	Member	14-11-2018		
6							
7							
8							
9							
10							

**Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be prefilled automatically**

Nomination and remuneration committee							
Whether the Nomination and remuneration committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00136969	Bharat Dhirajal Shah	Non-Executive - Independent Director	Chairperson	15-05-2018		
2	02305893	Abanti Mitra	Non-Executive - Independent Director	Member	15-06-2017		
3	07641723	Kartikeya Dhruv Kaji	Non-Executive - Nominee Director	Member	15-06-2017		
4							
5							
6							
7							
8							
9							
10							

**Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be prefilled automatically**

Stakeholders Relationship Committee							
Whether the Stakeholders Relationship Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	02305893	Abanti Mitra	Non-Executive - Independent Director	Chairperson	15-05-2018		
2	00002516	Jagdish Capoor	Non-Executive - Independent Director	Member	14-11-2018		
3	00337276	Deepak Calian Vaidya	Non-Executive - Independent Director	Member	14-11-2018		

4	01715073	Ramachandra Kasargod Kamath	Non-Executive - Nominee Director	Member	14-11-2018		
5	07641723	Kartikeya Dhruv Kaji	Non-Executive - Nominee Director	Member	15-05-2018		
6							
7							
8							
9							
10							

Risk Management Committee							
Whether the Risk Management Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	01715073	Ramachandra Kasargod Kamath	Non-Executive - Nominee Director	Chairperson	15-05-2018		
2	00136969	Bharat Dhirajal Shah	Non-Executive - Independent Director	Member	15-05-2018		
3	00002516	Jagdish Capoor	Non-Executive - Independent Director	Member	14-11-2018		
4	07641723	Kartikeya Dhruv Kaji	Non-Executive - Independent Director	Member	15-05-2018		
5							
6							
7							
8							
9							
10							

Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be prefilled automatically

Corporate Social Responsibility Committee							
Whether the Corporate Social Responsibility Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	01715073	Ramachandra Kasargod Kamath	Non-Executive - Nominee Director	Chairperson	25-07-2017		
2	00004842	Padmaja Gangireddy	Non-Executive - Non Independent Director	Member	25-07-2017		
3	02305893	Abanti Mitra	Non-Executive - Independent Director	Member	25-07-2017		
4	00274432	Sunish Sharma	Non-Executive - Nominee Director	Member	09-02-2021		
5	00337276	Deepak Calian Vaidya	Non-Executive - Independent Director	Member	09-02-2021		
6							
7							
8							
9							
10							

Other Committee						
Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks
1						

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Disclosure of notes on meeting of board of directors explanatory

[Add Notes](#)

Sr	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Number of Directors present* (All directors including Independent Director)	No. of Independent Directors attending the meeting*
	<a href="#">Add</a>	<a href="#">Delete</a>				
1	08-10-2021			Yes	9	4
2	09-10-2021	0		Yes	9	4
3	27-10-2021	17		Yes	9	4
4	28-10-2021	0		Yes	6	4
5	02-11-2021	4		Yes	8	4
6	22-11-2021	19		Yes	8	4
7	29-11-2021	6		Yes	8	4
8	05-01-2022	36		Yes	9	4
9	10-01-2022	4		Yes	8	3
10	14-02-2022	34		Yes	9	4
11	18-03-2022	31		Yes	8	4

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\* to be filled in only for the current quarter meetings

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## Annexure 1

## IV. Meeting of Committees

Disclosure of notes on meeting of committees explanatory

[Add Notes](#)

Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Number of Directors present* (All directors including Independent Director)	No. of Independent Directors attending the meeting*
<a href="#">Add</a> <a href="#">Delete</a>								
1	Audit Committee	22-11-2021				Yes	5	4
2	Audit Committee	05-01-2022	43			Yes	5	4
3	Audit Committee	14-02-2022	39			Yes	5	4
4	Nomination and remuneration committee	02-11-2021				Yes	3	2
5	Nomination and remuneration committee	22-11-2021	19			Yes	3	2
6	Nomination and remuneration committee	29-11-2021	6			Yes	3	2
7	Nomination and remuneration committee	17-03-2022	107			Yes	3	2
8	Nomination and remuneration committee	30-03-2022	12			Yes	3	2
9	Stakeholders Relationship Committee	05-01-2022				Yes	4	2
10	Stakeholders Relationship Committee	14-02-2022	39			Yes	5	3
11	Corporate Social Responsibility Committee	30-03-2022				Yes	5	2
12	Risk Management Committee	30-03-2022				Yes	4	2

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\* to be filled in only for the current quarter meetings

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V. Related Party Transactions			
Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	Yes	
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	
Disclosure of notes on related party transactions			<a href="#">Add Notes</a>
Disclosure of notes of material transaction with related party			<a href="#">Add Notes</a>

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## Annexure II

## Annexure II to be submitted by listed entity at the end of the financial year (for the whole of financial year)

## I. Disclosure on website in terms of Listing Regulations

Sr	Item	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.	Web address
1	Details of business	Yes		www.spandanaspooorty.com
2	Terms and conditions of appointment of independent directors	Yes		www.spandanaspooorty.com
3	Composition of various committees of board of directors	Yes		www.spandanaspooorty.com
4	Code of conduct of board of directors and senior management personnel	Yes		www.spandanaspooorty.com
5	Details of establishment of vigil mechanism/ Whistle Blower policy	Yes		www.spandanaspooorty.com
6	Criteria of making payments to non-executive directors	Yes		www.spandanaspooorty.com
7	Policy on dealing with related party transactions	Yes		www.spandanaspooorty.com
8	Policy for determining 'material' subsidiaries	Yes		www.spandanaspooorty.com
9	Details of familiarization programmes imparted to independent directors	Yes		www.spandanaspooorty.com
10	Contact information of the designated officials of the listed entity who are responsible for assisting and handling investor grievances	Yes		www.spandanaspooorty.com
11	email address for grievance redressal and other relevant details	Yes		www.spandanaspooorty.com
12	Financial results	Yes		www.spandanaspooorty.com
13	Shareholding pattern	Yes		www.spandanaspooorty.com
14	Details of agreements entered into with the media companies and/or their associates	NA		
15	Schedule of analyst or institutional investor meet and presentations made by the listed entity to analysts or institutional investors simultaneously with submission to stock exchange	Yes		www.spandanaspooorty.com
16	New name and the old name of the listed entity	NA		
17	Advertisements as per regulation 47 (1)	Yes		www.spandanaspooorty.com
18	Credit rating or revision in credit rating obtained	Yes		www.spandanaspooorty.com
19	Separate audited financial statements of each subsidiary of the listed entity in respect of a relevant financial year	Yes		www.spandanaspooorty.com
20	Whether company has provided information under separate section on its website as per Regulation 46(2)	Yes		www.spandanaspooorty.com
21	Materiality Policy as per Regulation 30	Yes		www.spandanaspooorty.com
22	Dividend Distribution policy as per Regulation 43A (as applicable)	Yes		www.spandanaspooorty.com
23	It is certified that these contents on the website of the listed entity are correct	Yes		www.spandanaspooorty.com
24	Disclosure of notes on website in terms of Listing Regulations explanatory [Text Block]		<a href="#">Add Notes</a>	

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Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 <b>a. Audit Committee</b>	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. <b>b. Nomination &amp; remuneration committee</b>	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. <b>c. Stakeholders relationship committee</b>	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. <b>d. Risk management committee (applicable to the top 500 listed entities)</b>	Yes
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes
9	<b>Any comments/observations/advice of Board of Directors may be mentioned here:</b>	<a href="#">Add Notes</a>

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<b>Sr</b>	<b>Subject</b>	<b>Compliance status</b>
1	Name of signatory	Ramesh Periasamy
2	Designation	Company Secretary and Compliance Officer

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Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Independent director(s) have been appointed in terms of specified criteria of 'independence' and/or 'eligibility'	16(1)(b) & 25(6)	Yes	
2	Board composition	17(1), 17(1A) & 17(1B)	Yes	
3	Meeting of Board of directors	17(2)	Yes	
4	Quorum of Board meeting	17(2A)	Yes	
5	Review of Compliance Reports	17(3)	Yes	
6	Plans for orderly succession for appointments	17(4)	Yes	
7	Code of Conduct	17(5)	Yes	
8	Fees/compensation	17(6)	Yes	
9	Minimum Information	17(7)	Yes	
10	Compliance Certificate	17(8)	Yes	
11	Risk Assessment & Management	17(9)	Yes	
12	Performance Evaluation of Independent Directors	17(10)	Yes	
13	Recommendation of Board	17(11)	Yes	
14	Maximum number of Directorships	17A	Yes	
15	Composition of Audit Committee	18(1)	Yes	
16	Meeting of Audit Committee	18(2)	Yes	
17	Composition of nomination & remuneration committee	19(1) & (2)	Yes	
18	Quorum of Nomination and Remuneration Committee meeting	19(2A)	Yes	
19	Meeting of Nomination and Remuneration Committee	19(3A)	Yes	
20	Composition of Stakeholder Relationship Committee	20(1), 20(2) & 20(2A)	Yes	
21	Meeting of Stakeholders Relationship Committee	20(3A)	Yes	
22	Composition and role of risk management committee	21(1),(2),(3),(4)	Yes	
23	Meeting of Risk Management Committee	21(3A)	Yes	
24	Vigil Mechanism	22	Yes	
25	Policy for related party Transaction	23(1),(1A),(5),(6),(7) & (8)	Yes	
26	Prior or Omnibus approval of Audit Committee for all related party transactions	23(2), (3)	Yes	
27	Approval for material related party transactions	23(4)	NA	
28	Disclosure of related party transactions on consolidated basis	23(9)	Yes	
29	Composition of Board of Directors of unlisted material Subsidiary	24(1)	NA	
30	Other Corporate Governance requirements with respect to subsidiary of listed entity	24(2),(3),(4),(5) & (6)	Yes	
31	Annual Secretarial Compliance Report	24(A)	Yes	
32	Alternate Director to Independent Director	25(1)	Yes	
33	Maximum Tenure	25(2)	Yes	
34	Meeting of independent directors	25(3) & (4)	Yes	
35	Familiarization of independent directors	25(7)	Yes	
36	Declaration from Independent Director	25(8) & (9)	Yes	

37	D & O Insurance for Independent Directors	25(10)	Yes	
38	Memberships in Committees	26(1)	Yes	
39	Affirmation with compliance to code of conduct from members of Board of Directors and Senior management personnel	26(3)	Yes	
40	Disclosure of Shareholding by Non-Executive Directors	26(4)	Yes	
41	Policy with respect to Obligations of directors and senior management	26(2) & 26(5)	Yes	
<b>Any other information to be provided</b>				<a href="#">Add Notes</a>

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Annexure II		
1	Name of signatory	Ramesh Periasamy
2	Designation	Company Secretary and Compliance Officer

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### Annexure II

#### III. Affirmations

Sr	Particulars	Compliance status (Yes/No/NA)
1	The Listed Entity has approved Material Subsidiary Policy and the Corporate Governance requirements with respect to subsidiary of Listed Entity have been complied	Yes
Any other information to be provided		<a href="#">Add Notes</a>

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### Annexure II

1	Name of signatory	Ramesh Periasamy
2	Designation	Company Secretary and Compliance Officer

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### Signatory Details

Name of signatory	Ramesh Periasamy
Designation of person	Company Secretary and Compliance Officer
Place	Hyderabad
Date	21-04-2022

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Additional Half yearly Disclosure			
Applicability of disclosure	Applicable		
Reason for Non Applicability	<a href="#">Add Notes</a>		
<b>I. Disclosure of Loans/ guarantees/comfort letters /securities etc.refer note below</b>			
<b>(A)Any loan or any other form of debt advanced by the listed entity directly or indirectly to</b>			
<b>Entity</b>	<b>Aggregate amount advanced during six months</b>	<b>Balance outstanding at the end of six months</b>	
Promoter or any other entity controlled by them	1191138126.00	1206042341.00	
Promoter Group or any other entity controlled by them	0.00	0.00	
Directors (including relatives) or any other entity controlled by them	0.00	0.00	
KMPs or any other entity controlled by them	0.00	0.00	
<b>(B) Any guarantee / comfort letter (by whatever name called) provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed By</b>			
<b>Entity</b>	<b>Type (guarantee, comfort letter etc.)</b>	<b>Aggregate amount of issuance during six months</b>	<b>Balance outstanding at the end of six months(taking into account any invocation)</b>
Promoter or any other entity controlled by them		0 0.00	0.00
Promoter Group or any other entity controlled by them		0 0.00	0.00
Directors (including relatives) or any other entity controlled by them		0 0.00	0.00
KMPs or any other entity controlled by them		0 0.00	0.00
<b>(C) Any security provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by</b>			
<b>Entity</b>	<b>Type of security (cash, shares etc.)</b>	<b>Aggregate value of security provided during six months</b>	<b>Balance outstanding at the end of six months</b>
Promoter or any other entity controlled by them		0 0.00	0.00
Promoter Group or any other entity controlled by them		0 0.00	0.00
Directors (including relatives) or any other entity controlled by them		0 0.00	0.00
KMPs or any other entity controlled by them		0 0.00	0.00
<b>(D) Additional Information</b>	<a href="#">Add Notes</a>		
<b>II. Affirmations</b>			
<b>Affirmations</b>	<b>Compliance Status</b>	<b>Company Remarks</b>	
All loans (or other form of debt), guarantees, comfort letters (by whatever name called) or securities in connection with any loan(s) (or other form of debt) given directly or indirectly by the listed entity to	Yes	<a href="#">Add Notes</a>	
Name	Shalabh Saxena		
Designation	CEO		
Place	Hyderabad		
Date	21-04-2022		

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