



Ref: SSFL/Stock Exchange/2024-25/095

October 08, 2024

To
BSE Limited,
Department of Corporate Services
P. J. Towers, 25th Floor,
Dalal Street,
Mumbai - 400001

To
National Stock Exchange of India Limited,
Listing Department
Exchange Plaza, C-1, Block G
Bandra Kurla Complex, Bandra (E)
Mumbai - 400051

Scrip Code: 542759

Symbol: SPANDANA

Dear Sir/Madam,

Sub: Reporting of violations under SEBI (Prohibition of Insider Trading) Regulations, 2015 ("PIT Regulations").

Ref: SEBI/HO/ISD/ISD/CIR/P/2020/135 dated 23rd July 2020.

Pursuant to the PIT Regulations, read with SEBI/HO/ISD/ISD/CIR/P/2020/135 dated 23rd July 2020, we submit herewith report in **Annexure-A** regarding violation of PIT Regulations and Company's Code of Conduct for Prohibition of Insider Trading and Internal Procedures and actions taken by the Company.

Kindly take the same on record.

Thanking You.

Yours Sincerely,
For Spandana Sphoorty Financial Limited

Vinay Prakash Tripathi
Company Secretary

Encl: as above

Spandana Sphoorty Financial Limited

CIN - L65929TG2003PLC040648

Galaxy, Wing B, 16th Floor, Plot No.1, Sy No 83/1, Hyderabad Knowledge City,
TSIIC, Raidurg Panmaktha, Hyderabad – 500081, Telangana

Ph: +9140-45474750 | contact@spandanasphoorty.com | www.spandanasphoorty.com



Annexure - A
Report by Spandana Sphoorty Financial Limited
for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading)
Regulations, 2015

Sr. No.	Particulars	Details	
1.	Name of the listed company/ Intermediary/Fiduciary	Spandana Sphoorty Financial Limited	
2.	Please tick appropriate checkbox Reporting in capacity of:	<input checked="" type="checkbox"/> Listed Company <input type="checkbox"/> Intermediary <input type="checkbox"/> Fiduciary	
3.	A. Details of Designated Person (DP)		
	i. Name of the DP	Vijaya Sivarami Reddy Vendidandi	
	ii. PAN of the DP	ACEPV2541H	
	iii. Designation of DP	Promoter Group	
	iv. Functional Role of DP	NA	
	v. Whether DP is Promoter or belongs to Promoter Group	Promoter Group	
	B. If Reporting is for immediate relative of DP	NA	
	i. Name of the immediate relative of DP	-	
	ii. PAN of the immediate relative of the DP	-	
	C. Details of the transaction(s)		
	i. Name of the Scrip	SPANDANA	
	ii. No of shares traded and value (Rs.) (Date-wise)	05-09-2024	
		Type	Qty.
			Value in Rs.
Sale		100	
Buy		100	
		61,675.00	
06-09-2024			
	Type	Qty.	
		Value in Rs.	
Sale	3,519	21,75,061.20	
Buy	3,519	21,54,476.45	
In case value of trade(s) is more than Rs.10 lacs in a calendar Quarter			
i.	Date of intimation of trade(s) by concerned DP/director/promoter/promoter group to Company under regulation 7 of SEBI (PIT) Regulations, 2015	September 09, 2024	
ii.	Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (PIT) Regulations, 2015	NA	
4.	Details of violations observed under Code of Conduct	Entered into contra trade in the securities of the Company.	

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5.	Action taken by Listed Company/ Intermediary/ Fiduciary	The Company had sought reason and details of such contra trade vide its mail dated September 10, 2024; and further issued a letter advising to disgorge the profit earned out of such trade to IPEF Fund maintained by SEBI.
6.	Reasons recorded in writing for taking action stated above	It was informed by the DP that he wished to sell the shares. However, had mistakenly bought and sold the shares simultaneously which resulted in contra trade.
7.	Details of the previous instances of violations, if any, since last financial year	None
8.	If any amount collected for Code of Conduct violation(s)	
	i. Mode of transfer to SEBI - IPEF (Online/Demand Draft)	Online
	ii. Details of transfer/payment	
	In case of online:	
	Particulars	Details
	Name of the transferor	Vijaya Sivarami Reddy Vendidandi
	Bank Name, branch and Account number	ICICI Bank
	UTR/Transaction reference number	240916196937221
	Transaction date	September 16, 2024
	Transaction Amount (in Rs.)	20,630/-
	In case of Demand Draft (DD):	
	Particulars	Details
	Bank Name and branch	NA
	DD Number	NA
	DD Date	NA
	DD amount (in Rs.)	NA
9.	Any other relevant information	NA

Yours faithfully,
For Spandana Sphoorty Financial Limited

Vinay Prakash Tripathi
Company Secretary
PAN: AEIPT7780G
Email: vinayprakash.tripathi@spandanasphoorty.com

Date: October 08, 2024
Place: Hyderabad